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DOCKET NO.: B1029.70001US00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.:

6,776,932 B1

Issue Date:

August 17, 2004

Applicant:

Victor M. Ilyashenko

Serial No.:

09/445,733

Confirmation No.:

1310

Filed:

August 29, 2000

For:

POLYMERIC OPTICAL ARTICLES

Examiner:

Mathieu D. Vargot

Art Unit:

1732

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Maureen Joyce

**Certificate of Correction Branch** 

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate

JUN 3 0 2005

Sir:

of Correction

Transmitted herewith are the following documents:

- Supplemental Request for Certificate of Correction Under 37 C.F.R. §1.323
- Certificate of Correction
- Copy of Transmittal Letter to the United States Receiving Office Dated June 12, 1998 (Attorney Docket No.: B1029.70001WO00)
- Document Comparing the Written Texts of U.S. Patent Application Serial No.:
   08/873,952 as filed and International Application No.: PCT/US98/12295 as filed
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825.

**i** 

Serial No.:

09/445,733

Confirmation No.: 1310

-2-

Art Unit: 1732

A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

Michael J. Pomianek, Ph.D., Reg. No.: 46,190

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: B1029.70001US00

Date: June 7(, 2005

**xNDDx** 908939



Attorney's Docket No.: B1029.70001US00

#### D STATES PATENT AND TRADEMARK OFFICE

Patent No.

6,776,932 B1

Issue Date

August 17, 2004

**Applicants** 

Victor M. Ilvashenko

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POLYMERIC OPTICAL ARTICLES

Examiner

Mathieu D. Vargot

Art Unit

1732

Conf. No.

1310

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached addressed to the Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June **1**, 2005.

#### **Certificate of Correction Branch**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §1.323

#### Dear Sir:

Applicant submits herewith a Supplemental Request for Certificate of Correction under 37 C.F.R. §1.323 to correct an incorrect reference to a prior co-pending application in the priority claim language in column 1, lines 11-19 of the above-identified issued patent, which corrections do not constitute new matter or require re-examination.

Applicant previously submitted a Request for Certificate of Correction under 37 C.F.R. §1.323 on September 15, 2004 for the above-identified issued patent requesting that in Column 1, Line 14, the text be corrected to read "is a continuation-in-part of" instead of "claims priority to" as printed. On May 24, 2005, the Patent Office issued a Certificate of Correction; however, the correction substituted "is a continuation of" for "claims priority to" instead of substituting "is a continuation-in-part of" for "claims priority to" as Applicant requested.

Applicant believes that the previous submission in support of the issued Request for Certificate of Correction under 37 C.F.R. §1.323 may have inadvertently created confusion as to whether the above-identified issued patent is properly a continuation of or a continuation-in-part of U.S. Patent Application Serial No. 08/873,952 (now U.S. Patent No. 6,086,999). Specifically, it is

Serial Number: 09/445,733 Conf. No.: 1310

Docket No.: B1029.70001US00

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noted that on the copy provided of the Transmittal Letter submitted to the United States Receiving Office with International Application No. PCT/US98/12295 upon filing of the International Application, which is also included as part of the present submission, in item II.D, the box indicating that the International Application "is identical to" U.S. Patent Application Serial No. 08/873,952 was checked. This was an inadvertent error made in good faith without deceptive intent. Instead, the box adjacent to item II.E should have been checked, indicating that the International Application "contains additional subject matter not found in [U.S. Patent Application] Serial No. 08/873,952]." It is readily apparent upon reviewing the text of U.S. Patent Application Serial No. 08/873,952 as filed and International Application No. PCT/US98/12295 as filed that the specifications are not identical and that additional text was included in International Application No. PCT/US98/12295. Accordingly, it is believed that it is clear from the Patent Office Record that the proper relationship of International Application No. PCT/US98/12295 to U.S. Patent Application Serial No. 08/873,952 is as a continuation-in-part. In support, as indicated below, Applicant includes as part of the present submission a document comparing the written texts of U.S. Patent Application Serial No. 08/873,952 as filed and International Application No. PCT/US98/12295 as filed illustrating changes.

Summarizing the basis and support for the present Supplemental Request for Certificate of Correction under 37 C.F.R. §1.323, Applicant inadvertently did not properly indicate the relationship between International Application No. PCT/US98/12295, of which the instant patent was granted on a national stage filing thereof, and U.S. Patent Application Serial No. 08/873,952 (now U.S. Patent No. 6,086,999) to which the International Application claimed the benefit of priority. The priority claim should have recited that International Application No. PCT/US98/12295 is a continuation-in-part of U.S. Patent Application Serial No. 08/873,952, now U.S. Patent No. 6,086,999. The attached certificate of correction effects this correction. The mistake was made in good faith.

Applicants note that the instant application was granted on an application filed prior to November 29, 2000, and that, therefore, the version of 37 C.F.R. §1.78 in effect as of November 29, 2000 applies. Applicants further note that all of the requirements set forth in the version of 37

Serial Number: 09/445,733 Conf. No.: 1310

Docket No.: B1029.70001US00

Page 3 of 4

C.F.R. §1.78(a)(1) in effect as of November 29, 2000 have been met in the application that matured into the instant patent to be corrected. In addition, it is clear from the record of the patent and patent application that the indicated priority is appropriate. As evidence, Applicant includes herewith a copy of:

(1) copies of the Transmittal Letter (mistakenly indicating that International Application No. PCT/US98/12295 "is identical to" U.S. Patent Application Serial No. 08/873,952 instead of indicating that the International Application "contains additional subject matter not found in [U.S. Patent Application Serial No. 08/873,952]" -see discussion above) and PCT Request form submitted to the United States Receiving Office with International Application No. PCT/US98/12295 upon filing, which clearly indicates that the International Application designated the United States of America (page 2 of Request) and claimed priority to U.S. Patent Application Serial No. 08/873,952 (page 3 of Request); (2) a copy of the Notice of Status of Requirements Under 35 U.S.C. 371 form mailed by the U.S. Patent and Trademark Office upon receipt of the International Application indicating the International Application Number and acknowledging Applicant's priority date claimed (i.e. the 12 June 1997 filing date of U.S. Patent Application Serial No. 08/873,952); and (3) a document comparing the written texts of U.S. Patent Application Serial No. 08/873,952 as filed and International Application No. PCT/US98/12295 as filed and showing changes with text added in the International Application indicated in double underline and deletions of text present in U.S. Patent Application Serial No. 08/873,952 in strike-through.

It is requested that the undersigned be contacted by telephone call at (617) 720-3500 with any questions relating to this Request.

Serial Number: 09/445,733 Conf. No.: 1310

Docket No.: B1029.70001US00

Page 4 of 4

Please charge any fee or any fee deficiency occasioned by t his Request to Deposit Account No. 23/2825.

Respectfully submitted,

Michael J. Porrianek, Reg. No. 46,190 WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

Date: June 21, 2005 XNDD 825063.2 Tel. (617) 646-8000

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

6,776,932 B1

DATED

August 17, 2004

INVENTOR(S)

Victor M. Ilyashenko

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In Column 1, at line 14, "claims priority to" should read -- is a continuation-in-part of --

#### MAILING ADDRESS OF SENDER

PATENT NO. 6,776,932 B1

Michael J. Pomianek, Ph.D., Reg. No. 46,190 Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, Massachusetts 02210

FORM PTO 1050 (Rev. 2-93) 908923

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III. A B	<ul> <li>D. ☑ The present international application ☑ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.</li> <li>E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.</li> <li>☐ A Response to an Invitation from the RO/US. The following document(s) is/are enclosed: <ul> <li>A Request for An Extension of Time to File a Response</li> <li>B. ☐ A Power of Attorney (General or Regular)</li> <li>C. ☐ Replacement pages:</li> </ul> </li> </ul>									
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				☐ Applicant		GATES, Edward R.				
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U.S. Department of Commerce: Patent and Trademark Office

## REQUEST

For re	ng Office use only
International Application No.	
International Filing Date	
Name of receiving Office and	"PCT International Application"
Applicant's or agent's file refe	erence: B1029/7001WO

the undersigned requests that the present	International Printing Date					
international application be processed according to the Patent Cooperation Treaty.						
	Name of receiving Office and "PCT International Application"  Applicant's or agent's file reference: B1029/7001WO (if desired) (12 characters maximum)					
Box No. I TITLE OF INVENTION POLYMERIC OPTICAL ARTICLES						
Box No. II APPLICANT						
Name and address: (Family name, followed by given name; for a legal entity, full offic address must include postal code and name of country. The count in this Box is the applicant's State (i.e. country) of residence if no indicated below.)	ev of the address indicated I I IIIS DETSON IS also inventor.					
BOSTON OPTICAL FIBER, INC. 155 Flanders Road Westborough, Massachusetts 01581	Telephone No.					
United States of America	Facsimile No.					
	Teleprinter No.					
State (i.e., country) of nationality: US	State (i.e., country) of residence: US					
This person is applicant all designated for the purposes of:  all designated states the United States						
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER						
Name and address: (Family name, followed by given name; for a legal entity, full official address must include postal code and name of country. The country in this Box is the applicant's State (i.e. country) of residence if no State (i.e. country						
State (i.e., country) of nationality: US	State (i.e., country) of residence: RU					
This person is applicant all designated for the purposes of:  all designated States the United States						
Further applicants and/or (further) inventors are indicated on a continuation sheet.						
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE						
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:						
Name and address: (Family name, followed by given name; for a legal en designation. The address must include postal code an	tity, full official Telephone No. 617 720-3500					
GATES, Edward R. Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue	Facsimile No. 617 720-2441					
Boston, Massachusetts 02210 United States of America	Teleprinter No.					
Mark this check-box where no agent or common representative is/ha special address to which correspondence should be sent.	is been appointed and the space above is used instead to indicate a					

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expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation and the payment of the designation and confirmation fees.

Confirmation must reach the receiving Office within the 15-month time limit.)

		Sheet No.					
Box No.,VI PRIORITY			y claims are indica	sted in jupple	upplemental Box □		
The priority of the following earlier application(s) is hereby claimed:							
Country (in which, or for which, the application was filed)		ng Date onth/year)	Applicati	ion No.	Office of filing (only for regional or international application)		
item (1) US	12 June 199	97 (12.06.97)	08/873	3,952			
item (2)	7						
item (3)							
The receiving Office is h Bureau a certified copy of	Mark the following check-box if the certified copy of the earlier application is to be issued by the Office which for the purposes of the present international application is the receiving Office (a fee may be required):  The receiving Office is hereby requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):(1)						
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2. description: 23	sheets 2.	copy of general power of attorney	6. 🗖	separate indica	tions concerning		
3. claims : 10	sheets nucleotide and/or amino acid			or amino acid			
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5. drawings: 02	sheets 4.	priority document( identified in Box N		other (specify):	postcard, transmittal letter k		
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UNITED STALLS DESIGNATED/ELECTED OFFICE (DO/EO/US) EDWARD R. GATE WOLF, GREENFIEL 600 ATLANTIC AV BOSTON MA 02210 NOTIFICATION OF STATUS OF **REQUIREMENTS UNDER 35 U.S.C.371** DATE OF MAILING JUL 1 4 1998 JUL 1 5 1998 FILE REFERENCE B1029/7001WO IDENTIFICATION OF INTERNATIONAL APPLICATION International Filing Date Priority Date Claimed International Application Number 12 JUN 97 12 JUN 98 PCT/US98/12295 Applicant for DO/EO/US BOSTON OPTICAL FIBER, INC. NOTIFICATION The applicant is hereby advised that the U.S. Patent and Trademark Office in its capacity as Designated Office Elected Office has received the following items as of the date of mailing indicated above. U.S. National fee [35 U.S.C.371 (c) (1)] 1. Oath of declaration [35 U.S.C.371 (c) (4)] Copy of International application as filed [35 U.S.C.371 (c) (2)] 3. Translation of Application [35 U.S.C.371 (c) (2)] 4. Amendments under PCT Article 19 [35 U.S.C.371 (c) (3) 5. Translation of PCT Article 19 Amendments [35 U.S.C.371 (c) (3) 6. Search Report or Declaration under PCT Article 17(2) [35 U.S.C.371 (a)] 7. International Preliminary Examination Report and its Annexes, if any, under PCT Article 36(3) (a) [35 U.S.C.371 (a)]

Translation of Annexes to the International Preliminary

at the expiration of the applicable time limit under either PCT Article 22 [35 U.S.C.371 (b)] or

U.S. NATIONAL SERIAL# DATE UNDER 35 U.S.C.102(e)

All correspondence submitted after the date of commencement of U.S. National processing indicated above should refer to the U.S. National Serial Number and the appropriate U.S. National processing organization or Officer.

B. As the above identified application has been accepted for U.S. National processing under the provisions of 35 U.S.C.371 (f) before expiration of the applicable time limit under PCT Article 22 PCT Article 39, applicant is reminded that

Amendments under PCT Article 19 and/or the International Preliminary Examination Report and its Annexes, if any, under PCT Article 36(3) (a), and (b)

and Trademark Office as soon as they are available.

Examination Report under PCT Article 36(3) (b) [35 U.S.C.371 (c) (5)]

PCT Article 39 [35 U.S.C.371 (b)] on the date indicated below under the provisions of 35 U.S.C.371 (f)

and any translation thereof, if applicable, must be submitted to the Patent

Assignment Document Prior Art Statement Preliminary Amendment Requirements for U.S. National processing have been met. Processing will

Other items received:

A. 🔲

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INTERNATIONAL APPLICATION MINIBER	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/U598/12295	12 JUN 98.	12JUN97 -
C. In order that U.S. Nar	()	
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Document Comparing the Written Texts of U.S. Patent Application Seria No. 08/873,952 as filed and International

tie) F-11-01 .APL POLYMERIC OPTICAL ARTICLES 2 Application Wo. PCT/US98/12295
L>1 1AO 93

QS Filed.

AOC/SMA/kdq 06/12/97<sup>4</sup>
PATENT APPLICATION<sup>5</sup>

Date: June /12 /1997<sup>6</sup>
EXPRESS MAIL LABEL NO. EC9788<sup>7</sup>

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Inventor: This application claims priority to U.S. Ser. No. 08/873,952, entitled "Method for Producing a Graded Index Plastic Optical Material," filed June 12, 1997, by Victor M. Ilyashenko Attorney's Docket No.: BOF97-01 12

METHOD FOR PRODUCING A GRADED INDEX 13
PLASTIC OPTICAL MATERIAL 14

#### GOVERNMENT FUNDING

The invention described herein was made in whole or in part with government support under a contract issued by the Defense Advanced Research Projects Agency (DARPA) in response to DARPA solicitation #BAA96-29 and under contract number DAA20L-94-C-3425 with the  $\frac{15}{2}$ Advanced Research

Projects Agency (ARPA 16 DARPA 17). The United States Government may have certain rights in the invention.

#### BACKGROUND OF THE INVENTION

Optical resin materials which are characterized by a distributed refractive index have demonstrated usefulness proved useful in the construction of optical conductors such as, optical fibers, optical waveguides, and optical integrated circuits as well as a mell as the corresponding preforms of from which these optical conductors are fabricated. In general, plastic or polymeric optical fibers (POF) are considered an attractive alternative to copper cable and glass optical fibers. Typically, the plastic optical fiber (or thin, flexible optical rod) has a an elongated core within which the majority of the light travels in a generally axial direction and a sheathing layer which coaxially surrounds the core and and confines the

 $-2^{36}$  light to the core and possesses  $^{37}$  due to its having  $^{38}$  an index of refraction less than that of the core.

The refractive index distribution of plastic optical fibers can be classified as either a gradient (or graded) ondex or step index. However, graded 41 gradient 42 index plastic optical fibers (GI POF) are preferred over step index fibers for many 43 data communication applications. That is, the 44 due to their superior bandwidth capacity. The index of refraction, in a graded 47 gradient 48 index plastic optical fiber has a distribution that continuously changes within the core of the 49 fiber, generally decreases 50 decreasing 51 radially from a maximum value at 52 the core center outward 53 central axis outwardly 54 until it matches 55 approaches the lower index of refraction of 56 the sheathing index 57 at or near 58 the core-sheathing interface. Therefore, Due to this continuously varying refractive index within the core, the optical fiber acts like a lens tending to refocus light rays, reducing their propagation in non-axial directions, so that light rays entering the core at a small angle, with respect to the axis, follow undulating paths, which is not the case for 61 with relatively small deviations from the axial direction when compared to light propagation in 62 a step index type fiber. The 63 In addition, the 64 speed of the light rays along the 65 following 66 undulating pathsinereases 67 <u>is higher</u> in the regions of lower refractive index so that the <u>total</u> <sup>69</sup>travel time along these for light rays following undulating paths is nearly equal to that along the 12 those following a 13 straight axial path. This results in, for example, a <u>fiber with a</u> <sup>74</sup> wider bandwidth of transmission with minimal modal dispersion and a more rapid information flow than that obtained with step index plastic optical fibers.

In general, <u>typical</u> <sup>75</sup>methods of fabricating <u>graded</u> <sup>76</sup>gradient <sup>77</sup> index plastic optical <u>materials comprise</u> <sup>78</sup>fibers involve <sup>79</sup> preparation of a polymeric sheathing and a polymeric core disposed within the sheathing. <sup>80</sup> in a coaxial <u>configuration</u>. <sup>81</sup> The refractive index of the core and sheathing are different in that <sup>82</sup>and, for most optical conducting applications, <sup>83</sup> the refractive index of the core is greater than that of the sheathing. Frequently, the core is <u>made of</u>

 $^{84}$ the same polymer as that which comprises the sheathing but, in addition<u>.</u> further sincludes a non-polymeric substance (commonly referred to as a dopant) which eauses  $^{86}$  increases  $^{87}$  the refractive index of the core to be  $^{88}$  so that it is greater than that of the sheathing. \_\_(90 See for example, U.S. Patent No. 5,541,247 to Koike. $\underline{)}^{91}$ 

However, currently available methods of fabrication have significant shortcomings. For example, the type and  $\frac{92}{35}$  amount of dopant substances which can be incorporated into the

 $-3^{94}$  core and still provide a  $\frac{95}{\text{gradient}}$  index plastic optical  $\frac{99}{\text{material}}$  which maintains both  $\frac{99}{\text{material}}$  transparency and an acceptable difference in the refractive index between the sheathing and the core, are limited. Therefore, a need exists for methods and materials useful for fabricating  $\frac{100}{\text{graded}} = \frac{100}{\text{improved gradient}} = \frac{101}{\text{index plastic optical}}$ materials 102 articles 103.

#### SUMMARY OF THE INVENTION

The 104 One aspect of the 105 present invention is based upon the discovery that, surprisingly, 106 a graded 107 gradient 108 index plastic optical material 109 article 110 having excellent optical characteristics can be achieved 111 produced 112 using a method of manufacturing, which 113 fabrication that 114 incorporates a low refractive index dopant (i.e., 115 having a refractive index lower than that of 117 the polymer of 118 comprising 119 the sheathing but without the dopant 120 in the sheathing of the material 121 article 122.

The present invention  $\frac{123}{100}$  in another aspect  $\frac{124}{100}$  relates to a graded 126 index plastic optical material 127 article 128, and methods of processing the material. 129 article. 130 The method 131 methods 132 of the invention provides provide 134 for the use of a significantly broader selection of dopant and polymeric materials which consequently provides a graded can be used to produce a functional gradient index plastic optical fiber sarticle article control of the invention allows control of the graded gradient gradient control of the graded gradient control of the graded gradient control of the graded control of the gradient control of of the material and thereby produces a graded for a wider range of differences in refractive indicies between the core and sheathing for a given concentration of core dopant thereby producing a gradient 147 index plastic optical material 48 article 49 with a low loss due to light attenuation and broad transmission bandwidth, having a high level of transparency, a substantial absence of bubbles and good environmental stability, for example, enhanced

thermal stability and resistance to humidity.

A 151 One 152 method for forming a graded 153 gradient 154 index plastic optical material 155 article according to the invention comprises the steps of: (a)

providing 157 forming a transparent tube of sheathing material comprising 159 including at least one 160 sheathing polymer and a 161 at least one 162 sheathing dopant; and (b) forming a transparent core within the sheathing tube produced in step (a) by: (i) filling the interior space of the sheathing tube, with a core solution comprising a core including at least one polymerizable core

monomer which upon polymerization has a refractive index

-4<sup>167</sup> greater than that of the sheathing tube; and +<sup>168</sup>ii) allowing the eere 169 polymerizable core 170 monomer to polymerize thereby forming a polymeri core 172 having a refractive index greater than that of the sheathing tube such that the material 173 article 174 is suitable to conduct light 175 at at least one wavelength with an attenuation less than 500 dB/km. 176 The core solution can eemprise 177 include 178 an optional core dopant. When present, the core dopant will have a refractive index greater than that of the polymer obtained upon polymerization of the 179 180 core monomer. 181 solution polymerized under the same conditions but not including the core dopant. The product thus obtained, is a graded 183 gradient 184 index plastic optical material 185 article 186 having an outer transparent 187 sheathing and an inner core both at least partially 188 transparent eere. 189 to light at at least one wavelength. The refractive index of the central axis of the 191 core is 192 will be 193 greater than that of the sheathing such that the material 194 article 195 is suitable to conduct light at at least one wavelength with an attenuation less than about 500 dB/km 196, with the refractive index of the core preferably 197 gradually decreasing in a radial direction from the eenter 198 central axis 199 of the core to the periphery. 200 of the core at the core-sheathing interface. In 201 general, the material 202 article 203 is fabricated 204 in the shape of a preform rod. Preferably, the preform rod has a cylindrical shape which can be drawn into fibers.

In a preferred 205 one 206 embodiment, the sheathing tube is made by extrusion methods. Alternatively, the sheathing tube can be produced by: (a) placing into a polymerization container a sheathing solution comprising a 207 including at least one 208 sheathing polymerizable monomer and a 209 at least one 210 sheathing dopant, the sheathing dopant having a refractive index lower than that of the polymer obtained by the polymerization of the 211 212 sheathing monomer solution under the same conditions but not including the sheathing dopant 213; and (b) causing the sheathing monomer of the sheathing solution to polymerize within the polymerization container in 214 into 215 a cylindrical configuration to form a transparent sheathing tuber at least partially transparent to light at 218 The invention further provides a method for forming a graded 219 gradient 220 index plastic optical fiber. The graded 221 In the method, the 222 article 224 is prepared, for example 225 as described above, in the shape of a preform rod which ean 226 is 227 then be subjected to hot-drawing at a temperature and speed 228

-5<sup>229</sup> suitable to render the fiber useful as an optical conductor. 230 predetermined temperature and speed suitable to produce a fiber useful as an optical conductor. In one embodiment, the monomer of the sheathing solution and the monomer of the core solution are the same. Suitable monomers include those which form polymers that are substantially amorphous and capable of conducting light at the desired wavelength(s). For embodiments where the core polymer and the sheathing polymer are the same, when a core dopant is used it will be different from the sheathing dopant.

In another aspect gradient index plastic optical articles of the invention comprise: (a) a polymeric sheathing that is at least partially transparent to light at at least one wavelength including at least one sheathing polymer and at

least one sheathing dopant, where the sheathing dopant has a refractive index which is less than that of the sheathing polymer; and (b) a polymeric core, coaxially disposed within the sheathing, including at least one core polymer and having a refractive index at the central axis of the core greater than that of the polymeric sheathing. In some embodiments, the polymeric core further includes at least one core dopant, the core dopant, when present, having a refractive index which is greater than that of the core polymer. In preferred embodiments, the core dopant has a concentration gradient in a specific direction.

In a certain embodiment, the monomer of the sheathing solution and the monomer of the core solution are the same. Suitable monomers include those which form polymers that are substantially amorphous and capable of conducting light in the desired wavelength. In this embodiment, when a core dopant is used it will be different from the sheathing dopant.

The graded index plastic optical material of the invention comprises (a) a transparent sheathing comprising a sheathing polymer and a sheathing depant, wherein some embodiments, the plastic optical article is in the shape of a cylindrical preform rod. In other embodiments, the article is in the shape of a cylindrical fiber having an outer diameter preferably between about 0.1 millimeter and about 1 millimeter.

In yet another aspect, the invention involves a gradient index plastic optical article with a polymeric sheathing and a polymeric core. The polymeric sheathing is at least partially transparent to at least one wavelength of light and includes a sheathing polymer and a sheathing dopant, where 237 the sheathing dopant has a refractive index which is less than that of the sheathing polymer; and (b) a transparent core, 238

an equivalent polymeric sheathing without the sheathing dopant. The polymeric core of the article is coaxially disposed within the sheathing, comprising a core polymer having a refractive index greater than that of the sheathing and an optional core dopant, the core dopant, when present, having a refractive index which is greater than that of the core polymer; wherein the core dopant has a concentration gradient in a specific direction. The refractive index of the core is greater than that of the doped sheathing.

In a preferred embodiment, the material is in the 242

shape of a cylindrical preform rod. In another application 243 the material is in the shape of a cylindrical fiber having an outer diameter between about 0-2 millimeters and about 1 millimeter. 244 is at least partially transparent to at least one wavelength of light and includes a core polymer. The polymeric core also has a gradient in refractive index in a specific direction. 245

In another aspect, the invention provides a method for forming a gradient index plastic optical article. The method involves forming a tube of polymeric sheathing material that is at least partially transparent to at least one wavelength of light from at least one polymerizable sheathing monomer and a sheathing dopant. A polymeric core that is at least partially transparent to at least one wavelength of light is then formed within the tube by filling the tube with a composition including at least one polymerizable core monomer and polymerizing the monomer. The polymeric core thus formed has a gradient in refractive index in a specific direction.

The invention also involves a gradient index plastic optical article which has a polymeric sheathing that includes a sheathing dopant. 247

In another aspect, the invention involves a gradient index plastic optical article with a polymeric sheathing and a polymeric core. The polymeric

sheathing is at least partially transparent to at least one wavelength of light and includes a sheathing polymer. The polymeric core of the article is coaxially disposed within the sheathing, is at least partially transparent to at least one wavelength of light and includes a core polymer and a specific overall concentration of a core dopant that has a refractive index greater than that of the core polymer. Furthermore, the core dopant has a concentration gradient within the core in a specific direction. The polymeric sheathing of the article is constructed and arranged so that the difference in refractive indices between the central axis of the polymeric core and the polymeric sheathing exceeds the difference in refractive indices between the central axis of the polymeric core and the sheathing polymer.

In one aspect, the invention involves a gradient index plastic optical article with a polymeric sheathing and a polymeric core. The polymeric sheathing is at least partially transparent to at least one wavelength of light and includes a sheathing polymer. The polymeric core of the article is coaxially disposed within the sheathing, is at least partially transparent to at least one wavelength of light and includes a core polymer and a core dopant that has a refractive index greater than that of the core polymer. The core dopant is present in the polymeric core at a first overall concentration sufficient to create a difference in refractive indices between the central axis of the core and the sheathing of a desired value. In addition, the core dopant has a concentration gradient within the core in a specific direction. The polymeric sheathing of the article is constructed and arranged so that the maximum service temperature of the article exceeds that of an equivalent article except having a sheathing comprised of only sheathing polymer and having a second overall core dopant concentration required to create a difference in refractive indices between the central axis of the core and the sheathing equal to the same desired value. In general, this increase in the permissible service temperature for articles manufactured according to the present invention having a particular difference in refractive indices between core and sheathing is enabled by the ability to use a lower amount of core dopant in order to create the desired difference in refractive indices.

In yet another aspect, the invention involves a gradient index plastic optical article with a polymeric sheathing and a polymeric core. The polymeric sheathing is at least partially transparent to at least one wavelength of light and includes a sheathing polymer. The polymeric core of the article is coaxially disposed within the sheathing, is at least partially transparent to at least one wavelength of light and includes a core polymer and a core dopant that has a refractive index greater than that of the core polymer. The core dopant is present in the polymeric core at a first overall concentration sufficient to create a difference in refractive indices between the central axis of the core and the sheathing of a desired value. Furthermore, the core dopant has a concentration gradient within the core in a specific direction. The polymeric sheathing of the article is constructed and arranged so that at least one wavelength of light is conducted by the article with less attenuation than by an equivalent article except having a sheathing comprised of only sheathing polymer and having a second overall core dopant concentration required to create a difference in refractive indices between the central axis of the core and the sheathing equal to the same desired value.

In one aspect, the invention involves an optical preform article. The preform includes a polymeric sheathing, which is at least partially transparent to at least one wavelength of light and has a refractive index of a first value at that wavelength. The polymeric sheathing includes a sheathing polymer and a plasticizer. The preform also includes a polymeric core, which includes a core polymer, that is coaxially disposed within the sheathing and is at least

partially transparent to the same wavelength(s) of light as the polymeric sheathing, and which has a refractive index of a second value at the central axis of the core at that wavelength. The preform is fabricated so that the second value of refractive index (i.e. at the central axis of the polymeric core) exceeds the first value (i.e. of the sheathing).

In another aspect, the invention involves a method for making a plurality of optical preform articles. The method involves forming a plurality of polymeric sheathings, each of which includes a sheathing polymer, is at least partially transparent to at least one wavelength of light, and has a refractive index of a first value at that wavelength. The method also involves forming a plurality of polymeric cores, each of which includes a core polymer, that is coaxially disposed within the sheathing and is at least partially transparent to the same wavelength(s) of light as the polymeric sheathing, and which has a refractive index of a second value at the central axis at that wavelength that exceeds the first value of the sheathing. The region of contact between the sheathings and the cores thus formed defines a plurality of interfaces, with essentially all of the plurality of interfaces being essentially free of visible bubbles. In other words, the invention enables a large number of preforms to be made, each of which is essentially free of visible bubbles along its entire "as polymerized" length (e.g. without cutting the preform after polymerization) In another embodiment, the invention involves an optical preform article. The preform includes a polymeric sheathing, which includes a sheathing polymer, that is at least partially transparent to at least one wavelength of light and has a refractive index of a first value at that wavelength. The preform also includes a polymeric core that is coaxially disposed within the sheathing and is at least partially transparent to the same wavelength(s) of light as the polymeric sheathing, and which has a refractive index of a second value at the central axis of the core at that wavelength that exceeds the first value of the sheathing. The polymeric core includes a core polymer and a core dopant having a refractive index which is greater than that of the core polymer. The core dopant is present in the polymeric core at a specified overall concentration. Furthermore, the second value of refractive index (i.e. of the central axis of the polymeric core) exceeds the first value (i.e. of the polymeric sheathing) by at least 0.01, with the specified overall core dopant concentration not

In another aspect, the invention involves a plastic optical article. The article comprises a polymeric sheathing, which is at least partially transparent to at least one wavelength of light and a polymeric core, coaxially disposed within the sheathing, which is also at least partially transparent to the same wavelength of light. The polymeric sheathing includes a sheathing polymer, and the polymeric core includes a core polymer and a core dopant that has a refractive index greater than that of the core polymer. The refractive index of the central axis of the polymeric core has a value at the wavelength of light mentioned above that exceeds the refractive index of the polymeric sheathing at the same wavelength by at least 0.01. Furthermore, the maximum service temperature of the article is at least 40 degrees C, preferably 45 degrees C, and more preferrably 50 degrees C.

exceeding 12 %wt. 253

In yet another aspect, the invention provides a method for making a gradient plastic optical fiber. The method involves first forming a polymeric preform rod comprising a polymeric sheathing and a polymeric core coaxially disposed within the sheathing that has a gradient in refractive index in a specified direction. The preform is then hot-drawn at a rate of at least 3 m/min, preferably at least 4 m/min, and more preferably, at least 5 m/min, into

a fiber. The fiber thus produced conducts at least one wavelength of light with an attenuation less than 500 dB/km. 255

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a preferred shows one shows one shows one shows one shows of shows one shows of shows one shows of shows one shows of shows one sh

Figure 2 is a graph showing the relationship between the transmission loss  $(attenuation)^{264}$  and wavelength of  $\frac{265}{100}$  an optical fiber. The  $\frac{266}{100}$  according to the invention;  $\frac{267}{100}$  transmission loss was measured using standard  $\frac{268}{100}$ 

 $-6^{269}$  techniques as described herein. Transmission 270 loss at 650 nm was approximately 140 dB/km demonstrating that the optical fiber had a high level of transparency.

#### DETAILED DESCRIPTION OF THE INVENTION

The features and other details of the invention will now be more particularly described and pointed out below as well as 271 in the claims. 272 detailed description and examples below. 273 It will be understood that the particular embodiments of the invention are shown by way of illustration only 274 and are 275 not intended to act 276 as limitations of the invention. The principle features of this invention can be employed in various embodiments not specifically described herein 277 without departing from the spirit and 278 scope of the invention.

In one aspect, the invention provides a method for forming a graded 279 gradient 280 index plastic optical material comprising article including the steps of: (a) forming a transparent tube of sheathing material by: 283 tube of polymeric sheathing material that is at least partially transparent to light at at least one wavelength by:

(i) placing into a polymerization container a sheathing solution comprising a sheathing polymerizable monomer and a sheathing dopant, wherein the sheathing dopant has a refractive index lower than that of the polymer obtained by the polymerization of the sheathing monomer including at least one polymerizable sheathing monomer and a plasticizer and/or dopant 287; and (ii) causing the sheathing monomer of the sheathing solution to polymerize within the polymerization container to give an inner cylindrical configuration in the form of a transparent sheathing tube; and (b) forming a transparent core form a polymeric sheathing tube at least partially transparent to light at at least one wavelength; and (b) forming a polymeric core coaxially disposed2 within the <u>polymeric</u><sup>291</sup> sheathing tube produced in step (a) by: (i) filling the interior space of the sheathing tube with a core solution <del>comprising a</del> core including at least one polymerizable core monomer. which upon polymerization <u>produces a polymeric core which</u> 296 has a refractive index greater than that of the polymeric sheathing tube; and (ii) allowing the core polymerizable monomer to polymerize thereby forming a polymer having a refractive index greater than that of the sheathing tube such that the material is suitable to conduct light. 298 299

The core solution can comprise an optional core dopant. 300 further include a core dopant. 301 When present, the core dopant

will have, for most embodiments, a refractive index greater than that of the polymer obtained upon polymerization of the core monomer (i.e. without addition of the dopant). 302

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 $-7^{304}$ 

will have a refractive index greater than that of the polymer obtained upon polymerization of the core monomer.  $^{305}\,$ 

The product thus obtained, is a graded index plastic optical material having an outer transparent sheathing  $^{306}$ 

In other aspects of the invention, the dopant included in the polymeric sheathing acts as a plasticizer, thus improving the mechanical properties of the polymeric sheathing. In other embodiments, a plastizer which does not provide a desireable dopant effect but which yields desirable mechanical properties may be used, or a dopant which does not act as a plasticizer may be used, or a combination of a dopant and a plasticizer may be used. In some preferred embodiments, the plasticizer added to the sheathing further can act as a dopant which raises or lowers the refractive index of the polymeric sheathing when compared to polymerized sheathing monomer not including the plasticizer. For embodiments involving conducting light within a rod or fiber fabricated according to the invention, preferrably the sheathing dopant lowers the refractive index of the polymeric sheathing.

The terms "polymeric sheathing" and "polymeric core" as used herein refer to the polymerized sheathing and core solutions respectively, which include the polymerized sheathing and core monomers respectively (along with any agents involved with the polymerization reaction such as iniatiators, and chain transfer agents); plus, any added plasticizers and/or dopants, which do not participate in the polymerization reaction of the monomers. The terms "sheathing polymer" and "core polymer" as used herein, refer to the polymerized sheathing and core monomers respectively (along with any agents involved with the polymerization reaction such as iniatiators, and chain transfer agents), except polymerized without any plasticizers and/or dopants, which do not participate in the polymerization reaction of the monomers. "Sheathing polymer" and "core polymer" as used herein, may include homopolymers, copolymers, mixtures of homopolymers, mixtures of copolymers, mixtures of homopolymers and copolymers, and the like. A "dopant" as used herein, refers to any material or mixture of materials, which does not participate in the polymerization reaction and which is not covalently incorporated into the polymeric structure, but which has at least limited miscibility within the structure, so that when present, it alters the effective refractive index of the polymeric structure versus the refractive index of an equivalent polymer, but not containing the dopant, by at least 0.0001. A "plasticizer" as used herein, refers to any material or mixture of materials, which does not participate in the polymerization reaction and is not covalently incorporated into the polymeric structure, but which has at least limited miscibility within the structure, so that when present, it decreases the glass transition temperature of the polymeric structure versus that of an equivalent polymer, but not containing the plasticizer, by at least 1 %. It should also be understood that "plasticizers" and "dopants" as used herein also can include unreacted monomer, or unreacted agents typically used in conjunction with a polymerization reaction such as unreacted iniatiators, and unreacted chain transfer agents. Suitable dopants or plasticizers may be solids, liquids, or gases at room temperature and pressure.

The phrase "transparent" or "at least partially transparent" as used herein, refers to the ability transmit or conduct a finite quantity of light energy (greater than zero) of at least one wavelength, over a finite, non-zero, distance. The term "coaxially" or "coaxial" as used herein to describe the structure of certain optical articles according to the invention, refers to an elongated cylindrical core having a central longitudinal axis, which is concentrically surrounded by, and in at least partial physical contact with, an outer annular sheathing, which shares the central longitudinal axis with the core, and is physically and/or chemically distinct from the core. The region of contact between the core and the sheathing is herein referred to as an "interface."

Preferred products obtained by the methods of the invention include gradient index plastic optical articles having an outer transparent polymeric sheathing 310 layer and an inner transparent polymeric ore. The refractive index of the core is greater than that of the sheathing such that the material 312 article 313 is suitable to conduct light, with the refractive index of the core gradually decreasing in a radial direction from the center of the core having a gradient in a specific direction. The term "refractive index" as used herein, refers specifically to the refractive index of the material at the wavelength, or wavelengths, of light being transmitted. When there may exist more than one index of refraction at a given wavelength within a material depending on the spatial location within the material where the index is measured, unless a specific spatial location is specified, the term "index of refraction" refers to the maximum index of refraction within the material. The phrase "gradient in a specific direction" as used herein, refers to a continuous change in a property in a radial direction either from the central axis to theperiphery. In general, the material is 316 periphery or vice versa. For preferred optical articles according to the invention, the core has a gradient in refractive index such that the refractive index is highest at the central axis of the core and decreases in the direction of the interface between the core and sheathing. However in other specific embodiments, the gradient may be in the opposite direction. In general, the articles are initially produced in the shape of a preform rod, as shown in Figure 1, where the transparent sheathing is depicted as component 1 and the core is depicted as component 2. Preferably, the preform rod has <u>a circular</u> cylindrical shape. The method also provides The methods of the present invention also provide and graded gradient are index plastic optical fiber. This comprises formation of a graded index plastic optical material, for example, as described above, in the shape of a preform rod followed by hot-drawing of the preform 323, preferrably with an outer diameter not more than 1 millimeter and with the same general cylindrical shape of the preform but with a smaller diameter. To form an optical fiber from a preform rod, the preform can be subjected to hot-drawing 324 at a temperature and speed suitable to render the fiber useful as an optical conductor. The novel addition of a plasticizer to the polymeric sheathing according to one aspect of the invention, provides improved mechanical properties of the preform article which enable faster hot-drawing speeds than previously attainable. For example, preforms, according to the invention, may be formed into an optical fiber able to conduct light at at least one wavelength with an attenuation less than 500 dB/km, and preferrably less than 200 dB/km, by hot drawing at a drawing speed of at least 3 m/min, preferrably at least 4 m/min, more preferrably at least 5 m/min, and even more preferrably at least 6

m/min. Alternatively, instead of formation of the optical fiber by hot drawing, the fiber may be produced by extrusion. 325

The term "preform rod" as used herein—is the steel and steel and shaped form of the graded gradient index plastic optical material article article that can subsequently be produced according to the method of the present invention. In general, the rod can be further are processed into an optical conductor such as an optical fiber, an optical waveguide, or an optical integrated circuit. For example, after the preform rod is produced, it can be removed from the polymerization container and formed into a steel plastic optical fiber. This can be accomplished, for example, by hot-drawing of the preform. Other known fiber producing techniques, for example, extrusion can also be employed.

The polymerization container used in the method of the  $35^{338}$  invention can be composed of any material which is inert to

-8<sup>339</sup> the sheathing solution, for example, glass. The container shape and dimensions will determine the outer shape of the graded gradient 1 index plastic optical material preform article 1 ultimately obtained in the practice of 144 by 145 the method. A 16 the 147 sheathing tube is 148 can be 149 produced, 150 by 151 using the well known technique of rotation casting, by placing a sheathing solution in the polymerization container and causing the solution to polymerize within the container to give an inner 152 while the container is rotated to yield 154 an annular 153 cylindrical configuration. 154 shape. Thus, the polymerization container can be any shape which when rotated about its own axis creates a sheathing tube with an inner 154 annular 155 cylindrical configuration. 155 shape. 159 The preferred shape of the container is cylindrical configuration. 158 shape. 159 The preferred shape of the container is cylindrical configuration. 150 a circular cylinder 150 preferably with dimensions that can achieve a preform rod 150 suitable for hot-drawing into an optical fiber. 150

The sheathing of the graded index optical material is the outer layer of the material. The sheathing is prepared using the well known technique of rotation casting, by placing into a polymerization container a sheathing solution comprising a sheathing polymerizable monomer and a sheathing dopant and causing the sheathing polymerizable monomer of the sheathing solution to polymerize within the container in a cylindrical configuration. The sheathing dopant does not participate in the polymerization reaction. Polymerization of the monomer into a cylindrical configuration can be accomplished by, for example, rotating the polymerization container about its own axis, during polymerization. Sheathing for example, with an inner diameter between 0.25 and 2 inches. The centrifugal force resulting from the rotation of the polymerization container sheathing tube within the polymerization container. Rotation can be accomplished, for example, by spinning the container.

Alternatively, the sheathing can also be prepared by extrusion of the doped sheathing polymer into tubular shapes using extrusion methods which are well known to  $^{369}$ 

those of skill in the art. The outer and inner shape of 370

the sheathing in this method will be dictated by the shape of the extrusion dye. The extruded sheathing will then serve as the container into which the core solution will be added and allowed to polymerize. The amount of sheathing forming solution placed in the polymerization container can be determined based upon the ratio of the thickness of the sheathing wall to the distance between the opposing interior walls of the sheathing  $\tau^{374}$  which is desired. This ratio will depend upon the cost of materials and the end use of the optical material  $\tau^{375}$  article.

Alternatively, the sheathing can also be prepared by extrusion of the sheathing polymer, together with any additives such as plasticizers and/or dopants, into tubular shapes using extrusion methods which are well known to those of skill in the art. The outer and inner shape of the sheathing using this method will be dictated by the shape of the extrusion dye. The extruded sheathing will then serve as the container into which the core-forming solution will be added and allowed to polymerize.

The polymerizable sheathing monomer can be any monomer or mixture of monomers 380 which upon polymerization yields substantially amorphous and transparent polymeric materials. Preferably, the polymeric materials of the sheathing are at least partially soluble in the monomer present in the core-forming solution and exhibit a suitable miscibility with the sheathing dopant and/or plasticizer 382.

Polymerizable monomers suitable for use in this invention include, but are not limited = \$^{383}to, for example, methacrylate monomers such as branched and unbranched C1 \*\*384\_\_\_385\*\* C10 alkyl methacrylates, for example, methyl methacrylate, ethyl methacrylate, n-propyl methacrylate, n-butyl methacrylate, n-hexyl methacrylate, isopropyl methacrylate, isobutyl methacrylate, tert-butyl methacrylate; halogenated methacrylates, such as 2,2,2-trifluoroethyl methacrylate; 4-methyl cyclohexyl methacrylate, cyclohexyl methacrylate, furfuryl methacrylate\*\*

furfuryl methacrylate\*\*

1-phenylethyl methacrylate, 2-phenylethyl methacrylate, 1-\$^{387}\$ phenylcyclohexyl methacrylate, benzyl methacrylate and phenyl methacrylate; acrylate monomers such as, methyl acrylate, ethyl acrylate, n-butyl acrylate, benzyl acrylate, 2-chloroethyl acrylate, methyl-a-chloro acrylate, 2,2,3,3-tetrafluoropropyl-a-fluoro acrylate, and 2,2,2-\$^{388}\$ trifluoroethyl acrylate; acrylonitrile and a-\$^{389}\$ methylacrylonitrile; vinyl monomers\*\*

such as \$^{391}\$ vinyl acetate.

-10<sup>392</sup> vinyl benzoate, vinyl phenylacetate, vinyl chloroacetate; styrene monomers, such as, styrene, halogenated styrenes, for example, ochlorosytrene, p-fluorostyrene, o, pdifluorostyrene p-difluorostyrene p-fluorostyrene, o, pdifluorostyrene p-difluorostyrene p-difluorostyrene p-fluorinated p-difluorostyrene p-difluorostyrene p-fluorinated p-difluorostyrene p-difluo

A sheathing plasticizer or  $^{401}$  dopant suitable for use in the methods of the invention is one which does not participate in the chemical  $^{402}$  reaction

which polymerizes the sheathing monomer. A  $\frac{403}{\text{preferred}}$  sheathing dopant will have a refractive index which is lower than that of the  $\frac{404}{\text{sheathing}}$ polymer obtained upon polymerization of the sheathing monomer of the sheathing solution. 406 sheathing monomer in a manner essentially identical to that employed for forming the polymeric sheathing except without the presence of the dopant. In other words, the sheathing dopant is selected so that the polymeric sheathing containing the sheathing dopant will have a lower refractive index than an equivalent polymeric sheathing except without the sheathing dopant by at least 0.0001, and preferrably by at least 0.0005. must not compromise the should not unduly reduce the degree of transparency of the polymeric sheathing 411 obtained upon polymerization of the sheathing monomer: solution. The level of transparency is inversely related to the transmission loss (i.e. attenuation)  $^{414}$  of a  $\frac{1}{9}$  gradient  $^{416}$  index plastic optical conductor  $\frac{1}{10}$  the operating wavelength of the conductor, and can be assessed using techniques well 419 known to those of skill in the art. For example, a  $\frac{420}{9}$   $\frac{1}{420}$   $\frac{420}{9}$   $\frac{1}{420}$  index plastic optical fiber which has a transmission  $\frac{420}{1000}$  value of 110 dB/km at an operating wavelength of 650 nm, possesses an adequate level of transparency as an optical conductor. However, a loss of more than 500 dB/km would not be an acceptable level of transparency. Therefore, a graded gradient index optical material article is suitably 428 transparent when an optical conductor, prepared from the material 429  $\frac{430}{\sin \frac{430}{\sin \frac{430$ 2 depicts the transmission loss of an optical fiber prepared using the method of the invention as described herein in Example 1. The loss was measured using methods known in the art such as those described in "Test Method for Attenuation of All Plastic Multimode Optical 435 optical 436 Fibers JIS C 6863-(1990)," Japanese Industrial Standard by the Japanese Standards Association-437, herein incorporated by reference. 438 Figure 2 shows a transmission loss of 140 dB/km at a wavelength of 650 nm. This transmission. loss provides a 439 fiber with a suitable level of transparency. 440

One useful criterion, for predicting whether or not the sheathing will be sufficiently 441 transparent, is predicated on the Flory-Huggins interaction parameter, XAB 442 2AB 443. That is, XAB 444 2AB 445 can be used as a guide to the likelihood 446 degree of miscibility between substances A and B, which in this case would be sheathing polymer and sheathing plasticizer and/or 448 dopant. The blend miscibility can be assumed to decrease with increasing values of ?AB. This parameter can be determined experimentally or approximated according to the following equation:

where d<sup>450</sup> is the solubility parameter which is a thermodynamic quantity generally defined as the square root of the cohesive energy density. The (the 452 cohesive energy density is obtained by dividing the molar evaporation energy, DE 453 2E 454, of a liquid by a molar volume, V-455, 456 Vref is an appropriate reference volume. 457, 458 R is the ideal 459 gas constant and T is the temperature 460 in degrees K. 461 A detailed discussion of the Flory-Huggins interaction parameter can be found in CRC Handbook of Polymer-Liquid Interaction Parameters and Solubility—462 Parameters, by A.F.M. Barton, 1990. 1990, herein incorporated by reference. Flory-Huggins interaction parameters below

about 0.5 generally indicate that a dopant or plasticizer may have suitable miscibility for use in the invention. However, the Flory-Huggins interaction parameter should be used only  $^{465}$  as a guide to the selection of an appropriate dopant or plasticizer  $^{466}$ , but not as a limitation, since the concentration of the plasticizer or  $^{467}$  dopant is also an  $^{468}$  important eriterion to consider in maintaining  $^{469}$  in determining the transparency of the polymeric sheathing and core with an acceptable transparency.

Some examples of <u>preferred</u> 472 sheathing dopants suitable for use in the invention include, but are not limited to, diisobutyl

 $-12^{473}$  adipate, glycerol-triacetate, 2,2,4-trimethyl- 1,3 $\frac{474}{2}$  pentanediol diisobutyrate, methyl laurate, dimethyl sebatate, isopropyl myristate, diethyl succinate, diethyl phthalate, tributyl phosphate, dicyclohexyl phthalate, dibutyl sebatate, diisooctyl phthalate, dicapryl phthalate, diisodecyl phthalate, butyl, octyl phthalate, dicapryl adipate, perfluorinated aromatics, for example perfluoro naphthalene, perfluorinated ethers and perfluorinated polyethers. Typically 475 Preferably 476, the sheathing dopant is present in the sheathing at a 477 an overall 478 concentration of between about 1 and about 35 weight percent based on the total weight of the monomer of the polymeric sheathing solution sheathing sheat also impart plasticizer-like qualities and/or hydrophobic properties upon the graded index plastic optical material. 493 to the polymeric sheathing. 494 The presence of plasticizer-like qualities and/or hydrophobic properties in the graded index-plastic optical material of polymeric sheathing of 496 the invention is advantageous. That is, plasticizer-like qualities allow the graded 97 gradient 498 index plastic optical material article 500 to be hot-drawn at a lower temperature and a higher speed, which results and also can result in a fiber with an acceptable  $\frac{503}{a \text{ lower}}$  level of attenuation or transmission loss compared to prior art fibers and methods 505. Hydrophobic properties provide for an optical material 506 article with enhanced environmental stability, for example, 508 decreased moisture absorbency.

Any method of polymerization can be used in the method of the invention for forming the graded 11 should be emphasized that, in some embodiments, a plasticizer can be used to impart the desirable physical properties above that does not impart desired refractive index changes to the polymer. Such a plasticizer may advantageously be used alone when changes in refractive index are not needed or desired, or, in other embodiments, such plasticizers may be used together with a separate dopant. Any suitable plasticizer known in the art useful for plasticizing the polymers formed from the polymerizable monomers previously listed may potentially be employed in the present invention.

Suitable methods of polymerization for forming the gradient index plastic optical material. These methods article according to the invention include, for example, free radical polymerization, atom transfer radical polymerization, anionic polymerization and cationic polymerization. Free radical bulk polymerization, employing either thermal or optical energy, is preferred.

When radical polymerization is employed, the sheathing solution also includes a radical polymerization initiator

and a chain transfer agent—<sup>515</sup> which participate in the polymerization reaction.

Suitable radical polymerization initiators are selected based on the type of energy employed in the polymerization reaction. For example, when heat or infrared polymerization for energy sile is employed, such as lauryl peroxide, benzoyl peroxide, the type of energy peroxide and 2,5-dimethyl-2,5-di(2-ethyl hexanoyl peroxy) hexane (TBEC) are suitable for use. When ultraviolet polymerization suitable for use. Typically, the polymerization initiator is present in the sheathing solution in a range of between about 0.1 to about 0.5 percent by weight—of the monomer sile.

Any chain  $^{526}$  transfer agent is  $^{527}$  agents  $^{528}$  suitable for use in the method of the invention. These  $^{529}$  include, but are not limited to, 1-butanethiol and 1-dodecanethiol. Typically, the chain transfer agent  $\frac{1}{100}$  present in the sheathing solution below about 0.5 percent by weight of the monomer  $^{532}$ .

As described earlier, the polymerization container is rotated during polymerization of the monomer of the sheathing solution. This rotation, for example,  $\frac{533}{7}$  spinning,  $\frac{534}{7}$  will yield  $\frac{535}{7}$  a transparent sheathing tube having an  $\frac{536}{7}$  cylindrical configuration. The interior space of this sheathing tube thereby provides a suitable container for polymerization of the core monomer in a subsequent step of the  $\frac{538}{1}$  inventive  $\frac{539}{7}$  method.

The core of the graded squadient index plastic optical material state article state inner layer of the material which is disposed within the sheathing. The core is transparent and ultimately provides the component of the material state article state article state article state index of the central axis of the polymeric state or is preferably greater than that of the sheathing such the material is suitable to conduct light. of the sheathing, and more preferably, the index of refraction throughout the bulk of the core is greater than that of the polymeric sheathing.

The core can be prepared by filling the sheathing tube with a core solution (553) which comprises a core polymerizable 35 monomer and an optional (555) includes a polymerizable core monomer and, optionally, a (555) core dopant (556), and polymerizing the

-14<sup>557</sup>core monomer. 558 core monomer in the solution. 559 The core polymerizable 560 polymerizable core 561 monomer can be any monomer or mixture of monomers 562 which upon polymerization yields substantially amorphous and transparent polymeric materials capable of conducting light in 563 at 564 the desired wavelength. In addition, the core polymerizable monomer, upon polymerization, 565 polymeric core, once formed, preferably 566 has a refractive index at its central axis 567 greater than that of the sheathing such that the material 568 final optical article 569 is suitable to conduct light. All of the monomers which are suitable for use in preparing the sheathing are, likewise, suitable for use in preparing the core. A combination of monomers can also be

used in preparation of the core thereby providing a core comprising a copolymer.  $^{570}$  core.  $^{571}$ 

As described earlier, any 572 Any 573 method of polymerization is suitable for use in the method of the invention. When 574 previously described as suitable for formation of the polymeric sheathing is also suitable for formation of the polymeric core. When 575 radical polymerization is employed in preparation of the core, 576 a polymerization initiator and chain transfer agent 577 is present in the core solution in ranges 578 with a concentration 579 similar to those 580 that 581 described earlier for the sheathing solution. Typically, the chain transfer agent is present below about 0.5 percent by weight of the 582 583 monomer.

A<sup>585</sup>An optional so core dopant suitable for use in the method of the invention so one which does not participate in the chemical so reaction which polymerizes the core monomer and which preferably shas a boiling point lower than the highest processing temperature to which it is subjected. A suitable core dopant will preferably shave a refractive index which is greater than that of the core solution without the core dopant should shape solution without the core dopant should shape solution, the solution without the core dopant should shape solution of the shape should shape solution of the shape should shape shape should shape should shape should shape shape should be used only as a guide not a limitation should shape shape should be used only as a guide not a limitation should shape shape should be used only as a guide not a limitation should shape sh

-15<sup>608</sup> choosing a suitable core dopant, since the concentration of the dopant

also needs to be considered affects the polymeric core transparency  $^{610}$ . Compounds suitable for use as the core dopant in the method of the invention include, but are not limited to, dibenzyl ether, phenoxy toluene, 1,1-bis-(3,4-dimethyl phenyl) ethane, diphenyl ether, biphenyl, diphenyl sulfide, diphenylmethane, benzyl phthalate-n-butyl, 1-methoxyphenyl- $^{611}$ 1-phenylethane, benzyl benzoate, bromobenzene, odichlorobenzene  $^{612}$ 0-dichlorobenzene  $^{613}$ 1, m-dichlorobenzene, 1,2-dibromomethane, 3- $^{614}$ 1-phenyl- $^{11}$ 1-propanol, dioctyl phthalate and perfluorinated aromatics, such as, perfluoro naphthalene.

When the core solution, which comprises 617 includes 18 the core monomer and an optional core dopant, is added to the sheathing tube, the inner surface of the sheathing tube, 619 is slightly swollen by the core monomer. As a result 620 During the polymerization 621, a gel phase is formed on 622 in the polymerizing core adjacent to 623 the inner wall of the sheathing tube. The concentration of the polymer in the swollen phase layer is not uniform, in that the concentration of the polymer and sheathing dopant, cluted from the sheathing, gradually 624 which gradually moves toward the central axis as the polymerization process progresses. Since the diffusivity of the core dopant is higher in the unpolymerized core solution than in the gel phase or the polymerized regions of the core, there is a net migration of core dopant towards the central axis of the core during the polymerization, so that when polymerization is complete,

there is a concentration gradient of core dopant in the direction from the central axis (highest concentration) towards the interface with the sheathing (lowest concentration). In contrast, the sheathing dopant, some of which can elute from the sheathing and diffuse into the core during polymerization, will have a concentration within the polymerized core which is highest at the coresheathing interface and which gradually decreases with distance from the inner surface. Interface towards the central axis of the core. Thus, a distributed concentration gradient of the low refractive index sheathing dopant is formed in the gel phase during polymerization due to diffusion of sheathing dopant. Polymerization from the polymeric sheathing. The polymerization from the core starts from the vicinity of the inner surface of the sheathing (interface between sheathing and core) and gradually grows to decrease between sheathing and core) towards the center axis of the tube core does not be sheathing accelerated polymerization in the gel stated polymerization in the gel stated polymerization in the gel stated polymer optical Fiber, and the core of the sheathing commonly known as the gel-effect (see for additional details, see for example, Koike, Y. et al., "HighBandwidth High-Bandwidth Graded-Index Polymer Optical Fiber, definition of the sheathing of the core optical Fiber, definition of the sheathing of the sheathing of the sheathing of the sheathing of the core of the sheathing of the sheat

491 (1988), both incorporated herein by reference when 658 a core dopant, having a higher refractive index than the equivalent polymerized core monomer but without the core dopant, 659 is present, a concentration gradient of the core dopant, which remains in 660 within 661 the polymeric corepolymer 663, is—also 664 formed. As described in U.S. Patent No.

<del>L)</del>665

-16<sup>666</sup> 5,541,247 by Koike, incorporated herein by reference, 667 the core monomer polymerizes while the substance with a greater refractive index (core dopant) 668 becomes highly 669 concentrated at 500 towards 671 the center 672 central axis 673 of the core. The high concentration of the core dopant which is present at the central part of the core gradually decreases in a radial direction toward the periphery, thereby, creating a gradient in a specific direction. 674 core dopant concentration in a specific direction which creates a corresponding gradient in refractive index within the core. Notably, the specific direction of the concentration gradient of core dopant within the polymeric core will be opposite that of the concentration gradient of the sheathing dopant within the core.

than that of the polymer obtained upon an equivalent  $^{690}$  polymerization of the  $^{691}$   $^{692}$  core monomer. However  $^{693}$  solution without the core dopant. Preferably  $^{694}$ , the difference in refractive index between the sheathing dopant and core dopant should have a value which renders the optical  $^{695}$  article  $^{696}$  suitable to conduct light at at least one wavelength with an attenuation less than 500 dB/km  $^{697}$ .

This difference in the refractive index could be, for 698

Advantageously, through use of a low refractive index sheathing dopant according to one aspect of the invention, the overall concentration of core dopant required to provide a desired difference in refractive index between the central axis of the core and the sheathing will be less than for an equivalent optical article except having a sheathing which does not include the sheathing dopant. The term "overall concentration" as used herein, refers to the total amount of core dopant present in the polymeric core based on the total weight of the polymeric core. In short, the current invention provides plastic optical articles which require a lower overall concentration of core dopant to obtain comparable bandwidth capabilities when compared to similar prior art optical articles. The ability to use a lower overall core dopant concentration provides many advantages in the optical and physical properties of the articles as discussed below. As an example, if a desired difference in the refractive index between the central axis of the core and the sheathing is 0.001, this could be achieved according to the present invention, for example, by employing a core dopant which raises the refractive index the polymeric core by 0.0005 and a sheathing dopant which lowers the refractive index of the polymeric sheathing by 0.0005. The use of a low refractive index sheathing dopant according to the invention enables the fabrication of plastic optical articles having an unprecedented difference in the refractive indices of the central axis of the core and the sheathing. For example, according to the inventive methods, using a particular selection of dopants, a plastic optical preform can be fabricated with the difference in the refractive indices between the central axis of the core and the sheathing being at least 0.01 with an overall core dopant concentration not exceeding 12 %wt. 699

example, 0.001 and be achieved by, for example, employing a core dopant with a refractive index greater than that of the core polymer by 0.0005 and a sheathing dopant with a refractive index less than of the sheathing polymer by 0.0005. Thus, the method of the invention employing sheathing dopants has advantages over a method employing a dopant-free sheathing, in that for example, a broader selection of materials which can employed as dopants is available, based on the additive effect of the core and sheathing dopant as opposed to the singular effect of the  $\frac{703}{2}$  core dopant alone. Additionally, a lower concentration of

core 707 dopant or no dopant at all can be used in the core and still achieve a comparable difference in refractive index. 708 while still achieving a suitable difference in refractive indices. A reduction in the required concentration of core dopant can, for example, increase the transparency of the article and reduce attenuation when compared to an equivalent article except having a sheathing without the sheathing dopant, such article thus requiring a higher overall concentration of core dopant to create the same difference in refractive index between the central axis of the core and the sheathing.

"Equivalent" as used herein in this context implies that all materials and polymerization conditions are the same for the articles being compared except

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for the presence of a dopant or plasticizer. The reduction in core dopant
concentration enabled by the present invention can also allow for an increased
maximum service temperature for the article, since lower core dopant concentrations will typically correlate with higher glass transition
temperatures for the polymeric cores. For example, the present invention can
provide a plastic optical article comprising a polymeric sheathing and a
polymeric core where the refractive index at the central axis of the core
exceeds that of the sheathing (for the same wavelength) by at least 0.01, while
the article has a maximum service temperature of at least 40 degrees C.^{70}
      In a specific embodiment, the monomer of preferred embodiment, the
monomer that is polymerized to form the core and the sheathing is methyl
methacrylate. In this embodiment,
when a core dopant is present, the sheathing and core dopants are different
substances. The difference in the refractive index between the depants must be
such that the optical material is suitable to conduct light. Additionally, the
refractive index of the core-dopant is 713
greater than that of the sheathing dopant. For example, the dopant for the
sheathing can be tributyl phosphate (refractive index - 1.424) while the dopant
for the core can be diphenyl sulfide (refractive index = 1.6327).714
In another embodiment, the monomer of the core and the 715 sheathing is 2,2-
bis(trifluoromethyl)-4,5-difluoro-1,3dioxole also known another preferred
embodiment, the monomer that is polymerized to form the core and the sheathing
is a perfluorinated monomer such as perfluoro(2,2-dimethyl-\frac{718}{1.3} dioxole)
(PDD). In this embodiment these embodiments, when a core dopant is present,
the sheathing and core dopants are plasticizer and/or dopant and core dopant
are preferably 723 different substances, with 724. For embodiments where the sheathing includes a sheathing dopant, 725 the difference in the refractive index between thedopants 726 dopants should be 727 such that the optical
material article 129 is suitable to conduct light. Additionally, the refractive
index of the core dopant is greater than that of the sheathing dopant. 730
     In yet another embodiment, the method of the invention further comprises
the step of hot-drawing the graded index 731
optical preform into a fiber. Typically, hot-drawing is conducted at a
temperature suitable to sufficiently soften the preform rod to allow it to be
drawn into a fiber. The drawing is generally conducted at a speed suitable to
render the fiber useful as an optical conductor. 732
      In yet another aspect, the invention provides a graded-index plastic
optical material comprising: (a) a transparent sheathing comprising a sheathing
polymer and a sheathing dopant, wherein the sheathing dopant has a refractive
index which is less than that of the sheathing ^{733}
polymer; and (b) a transparent core, disposed within the 734
sheathing, comprising a core polymer having a refractive index greater than that
of the sheathing and an optional core dopant, the core dopant, when present,
having a refractive index which is greater than that of the core 736
polymer; wherein the core dopant has a concentration gradient in a specific
direction. The refractive index of the core is greater than the doped
sheathing. 737
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In a preferred embodiment, the graded index plastic optical material is in the shape of a cylindrical preform  $^{738}\,$ 

rod. In another application, the graded index plastic optical material is in the shape of a cylindrical fiber having an outer diameter between about 0.2 millimeters and about 1 millimeter. The fiber can be prepared, for example, by hot-drawing a preform rod, the fiber rough of the preform but, with a smaller outer diameter. The fiber can be prepared, for example, by hot-drawing a preform rod, the fiber rough of the preform but, with a smaller outer diameter.

In certain embodiments, the graded index plastic optical material has the same polymer in both the sheathing and the core. In this particular embodiment, when the  $^{741}$ 

optional core dopant is present, the core dopant and the sheathing dopant are different substances. The sheathing dopant has a refractive index which is less than that of the core dopant. The difference in refractive index between the dopants should be such that resulting optical material is suitable to conduct light. For example, the material should be useful as an optical conductor. For example, when the polymer of the core and sheathing is at the desired wavelength. Additionally, for such embodiments, the refractive index of the core dopant is preferably greater than that of the sheathing dopant. For example, when the core polymer and sheathing polymer are the polymer are polymer are the core dopant can be tributed phosphate (refractive index = 1.424) and the core index = 1.6327). When the polymer of the core and sheathing is, for example, that obtained upon polymerization of the monomer 2,2bis(trifluoromethyl) -4,5-difluoro-1,3-dioxole, the sheathing dopant and core dopant are different substances, with the difference in the refractive index between the

-19<sup>755</sup>dopants such that the optical material is suitable to conduct light. In addition, the refractive index of the core dopant is higher than the sheathing dopant with both dopants being other preferred embodiments where the sheathing and the core include the same polymerized monomer, for example a perfluorinated monomer, utilize different sheathing and core dopants where both dopants are perhalogenated.

The advantages 758 A significant advantage 759 of the method 760 methods 761 of the invention include the availability of a significantly broader range of dopant and monomer 762 materials which are useful in preparing a graded 763 the inventive gradient 764 index plastic optical material. 765 articles. 766 This increase in the range and 767 types of materials suitable for use in the invention provides, for example, the ability to increase the difference in the refractive index 768 indices 769 between the sheathing and the core without unduly 770 compromising the performance 770 characteristics of the optical material 772 article, 773 and, in some cases, 774 the ability to widen the operating wavelength range 775 of the material 776 articles. This is 777 particularly important 778 when the articles are 779 employed in data communications 780 applications. 781 In addition, the concentration of dopant in the core, necessary to provide the required difference in refractive index 782 indices 783, can be decreased when a sheathing dopant, having a lower 784 which lowers the 785 refractive index than 786 of 787 the polymeric 788 sheathing polymer 789, is present. This decrease in the required 790 concentration of the core dopant can 791 significantly improves 192 improve 192 improve 1920 the miscibility of the core dopant 794 materials which directly impacts the optical

characteristics, for example, transparency of the optical material.

Further of the sheathing dopant, in many instances, behaves as a plasticizer in the graded index plastic optical material. optical material. optical material. Optical material operates also behave as a plasticizer. Plasticizers, including plasticizing dopants, can enable hot-drawing of the preform article according to the invention into, for example, an optical fiber at a lower temperature and/or higher drawing speed as previously discussed.

This plasticizer-like behavior allows for hot-drawing of the material, for example, in the shape of a preform rod at a lower temperature and/or higher speed. 799

Plasticizers, including plasticizing dopants, also provides advantages when forming the optical preform article during polymerization. In typical prior art methods not employing a sheathing plasticizer, when the core monomer is polymerized within the sheathing tube, the core has a tendency to shrink in a radial direction as polymerization proceeds. This results in the polymeric core separating from the sheathing during the polymerization causing the formation of bubbles at the interface between the sheathing and the core for a significant fraction of the articles produced. These bubbles are very detrimental to the optical performance of the article, and normally are cut out of the article, thus reducing its length, or the article containing the bubbles is simply discarded. With the present invention, the sheathing plasticizer can soften the polymeric sheathing, by lowering the glass transition temperature, an effective amount so that the sheathing will remain in contact with the core to a greater extent during core polymerization. In this way, the quantity of bubbles formed at the interface can be markedly reduced. Specifically, the present invention provides a method for the consistent production of plastic optical articles, each having an interface between the polymeric sheathing and polymeric core that is essentially free of visible bubbles. The mechanical property advantages of including dopants and/or plasticizers in the sheathing are not limited to applications involving gradient index plastic optical articles. Similar advantages, for example an increase in permissable drawing speed, may be realized for step-index plastic optical articles, plastic optical lenses, plastic optical waveguides, and plastic optical integrated circuits.

The invention will now be further illustrated by the following examples which are not intended to limit the scope of the invention in any way. All percentages are by weight unless otherwise specified.

-20<sup>801</sup>
EXEMPLIFICATION<sup>802</sup>
EXAMPLE 1:

#### PREPARATION OF SHEATHING

A sheathing solution containing 1600 g (92.2 wt) 803 of purified methyl methacrylate (MMA), 4.00 g (0.25 weight percent of MMA) 0.23 kwt 805) of lauryl peroxide as the polymerization initiator, 3.42 ml of 1-butanethiol (0.18 weight percent of MMA) as the chain transfer reagent (available from Aldrich Chemical Co., Inc., 808 809 Milwaukee, WI) and 128 g (8 weight percent of MMA) 811 of dicyclohexyl phthalate (7.4 wt) as the sheathing dopant 812 was stirred and degassed for about 30 minutes.

To an appropriately stoppered glass tube, having an inner diameter of 30 mm and a length of 1.5 meters was added sheathing solution, to  $\frac{1}{2}$  to achieve  $\frac{813}{2}$   $\frac{814}{2}$  height  $\frac{1}{2}$  final ratio of

core to sheathing thickness. For example  $^{818}$  of about 2:3. In general  $^{819}$ , a final ratio of the thickness of the sheathing wall to core thickness can be between about 1:4 to about 2:1. Both ends of the tube were sealed, and then the tube was placed in a water bath at a temperature of  $71^{\circ}\frac{^{820}}{^{0}}$  degrees  $^{821}$ C and polymerized while being rotated at approximately 500 rpm for 20 hours. The tube was then placed in a rotating oven (approximately 5 rpms) for two hours at  $100^{\circ}$ C. A polymethyl methacrylate)  $^{822}$  degrees C. A polymeric  $^{823}$  sheathing tube was  $^{824}$  thus obtained  $^{825}$ .

## PREPARATION OF CORE+826

The sheathing prepared above was kept in the glass tube, and the container formed by the cylindrical inner surface of the sheathing was filled with a solution containing 350 g (92.1 %wt) 827 of MMA, 200 microliters of t-butyl peroxide, 600 microliters of 1-dodecanethiol and 30 grams (8.5 weight percent 828 (7.9 %wt) of diphenyl sulfide. 830 as the core dopant. 831 The tube was sealed and then heated in a vertical position at  $90^{\circ}$  degrees 833 C for at least  $12^{834}$  hours. The tube was then placed in the oven horizontally and heated for 12 hours at  $90^{\circ}$  degrees 837 C, 24 hours at

 $\frac{-21^{838}110^{\circ}^{839}\underline{110~\text{degrees}}^{839}\underline{110~\text{degrees}}^{840}\text{C}, \ 10~\text{hours at } 120^{\circ}\underline{^{841}}\underline{\text{degrees}}^{842}\text{C and 4 hours at } 130^{\circ}\underline{^{843}}\underline{\text{degrees}}^{844}\text{C while}$  rotating at a speed of 5  $\frac{845}{247}\underline{\text{rpms}}^{846}.$ 

The graded state of the glass polymerization container. The rod was then slowly inserted into a cylindrical heating furnace from the top while the furnace was maintained at a temperature between  $180^{\circ}^{849}$  degrees so and  $220^{\circ}^{851}$  degrees softened sufficiently, hot-drawing and so spinning into an optical fiber state at a constant speed of approximately  $5^{\circ}^{855}$  15 meters state from the bottom of the rod.

## EXAMPLE 2÷858

#### PREPARATION OF SHEATHING

A polymeric sheathing was prepared as in Example 1 above, except that the sheathing solution containing 1600-g of purified methyl methacrylate (MMA), 4.00 g (0.25 weight percent of MMA) of lauryl peroxide as the polymerization initiator, 3.42 ml of 1-butanethiol (0.18 weight percent of MMA) as the chain transfer reagent (available from Aldrich Chemical Co., Inc., Milwaukee, WI) and 320 g (20 weight percent of MMA contained 320 g (16.6 %wt 862) of dicyclohexyl phthalate was stirred and degassed for as the sheathing dopant.

864

about 30 minutes.

To an appropriately stoppered glass tube, having an inner diameter of 30 mm and a length of 1.5 meters was added sheathing solution, to the appropriate height to achieve the desired final ratio of core to sheathing \$66 thickness. For example, a final ratio of sheathing to core thickness can be between about 1:4 to 2:1. Both ends of the tube were sealed, and then the tube was placed in a water bath at a temperature of 71°C and polymerized while being rotated at approximately 500 rpm for 20 hours. The \$67 tube was then placed in a rotating oven (approximately 5 rpms) for two hours at 100°C. A poly(methyl methacrylate) sheathing tube was prepared. \$68

<del>-22</del>869

PREPARATION OF CORE:

The sheathing prepared above was kept in the glass tube, and the container formed by the inner surface of the sheathing was filled with a solution containing 350 g of R polymeric core, preform rod and optical fiber were prepared as in Example 1 above, except that the core solution contained no added core dopant.

MMA, 200 microliters of t-butyl peroxide and 600 microliters of 1-dodecanethiol. The tube was sealed and then heated in a vertical position at 90°C for at least 12 hours. The tube was then placed in the oven horizontally and heated for 12 hours at 90°C, 24 hours at 110°C, 10<sup>872</sup>

hours at 120°C and 4 hours at 130°C while rotating at a speed of 5 rpms. 873

The graded index plastic optical preform rod was then removed from the glass polymerization container. The rod was then slowly inserted into a cylindrical heating furnace 874

from the top thereof while the furnace was maintained at a temperature between 180°C and 220°C. When the rod was softened sufficiently, spinning at a constant speed of approximately 5-15 meters/min was started from the bottom 875 of the rod. 876

## EXAMPLE 3877

A polymeric sheathing, polymeric core, plastic optical preform rod, and optical fiber were prepared as outlined in Example 1, except that 2,2,4-trimethyl-1,3-pentanediol diisobutyrate was substituted for dicyclohexyl phthalate as the sheathing dopant. 878

## EXAMPLE 4879

A polymeric sheathing, polymeric core, plastic optical preform rod, and optical fiber were prepared as outlined in Example 2, except that 2,2,4-trimethyl-1,3-pentanediol dissobutyrate was substituted for dicyclohexyl phthalate as the sheathing dopant. 880

## EXAMPLE 5

A polymeric sheathing, polymeric core, plastic optical preform rod, and optical fiber were prepared as outlined in Example 1, except that diethyl succinate was substituted for dicyclohexyl phthalate as the sheathing dopant. 882

## EXAMPLE 6883

A polymeric sheathing, polymeric core, plastic optical preform rod, and optical fiber were prepared as outlined in Example 2, except that diethyl succinate was substituted for dicyclohexyl phthalate as the sheathing dopant.

## EQUIVALENTS<sup>885</sup>

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.

-23<sup>886</sup> CLAIMS<sup>887</sup> What is claimed is: 888 Claims

- 1. A graded solution index plastic optical material solution article solutions and a polymeric sheathing, which is at least partially solutions a sheathing solution and sheathing dopant, including a sheathing dopant having a refractive index which is less than that of the sheathing polymer; and solutions a transparent core disposed within the sheathing dopant; and solutions a transparent core disposed within the sheathing, comprising a core polymer having a refractive index greater than that of the sheathing and an optional core depart index greater than that of the sheathing and an optional core depart a polymeric core, including a core polymer, coaxially disposed within said sheathing, said core being at least partially transparent to light at at least one wavelength and sheathing and an a gradient in solution refractive index which is greater than that of the core polymer; wherein the core dopant has a concentration gradient in a specific direction.
- 2. The  $\frac{905}{\text{article}}$  of  $\frac{906}{\text{Claim 1}}$  of  $\frac{907}{\text{Claim 1}}$  wherein  $\frac{908}{\text{said sheathing}}$  dopant lowers  $\frac{909}{\text{the refractive index of the transparent core is greater than that of the transparent sheathing such that the material is <math>\frac{910}{\text{suitable to conduct light}}$  polymeric sheathing by at least 0.0005 compared to an equivalent sheathing without said sheathing dopant  $\frac{912}{\text{compared to an equivalent}}$ .
- 3. The material of Claim 1 in the shape of a cylindrical preform rod.

  3. The article of claim 1, wherein said sheathing dopant is present in the polymeric sheathing at an overall concentration less than 35 %wt.

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- 4. The material of Claim 1 in the shape of a cylindrical fiber having an outer diameter between about 0.2 millimeters and about 1 millimeter. 915

  4. The article of claim 1, wherein said sheathing dopant is present in the polymeric sheathing at an overall concentration less than 20 %wt. 916
- 5. The material  $^{917}$  article  $^{918}$  of Claim 1 wherein the sheathing and core polymers are formed from different polymerizable monomers  $^{919}$  claim 1, wherein said sheathing dopant is present in the polymeric sheathing at an overall concentration less than 15 %wt $^{920}$ .
- 6. The material  $\frac{921}{article}$  of Claim 1 wherein the sheathing and core polymers are formed from the same polymerizable 30 monomer  $\frac{923}{claim}$  1, wherein the interface between said polymeric sheathing and said polymeric core is essentially free of visible bubbles  $\frac{924}{claim}$ .

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7. The material of Claim-6 wherein the polymerizable monomer is methyl methacrylate.  $^{926}$ 

- 7. The article according to claim 1, wherein said polymeric sheathing and said polymeric core are both at least partially transparent to the same at least one wavelength of light. 927
- 8. The material stricte separate separa
- 9. The material of Claim 8 wherein the core dopant is benzyl benzoate. 932 article according to claim 8, wherein the refractive index of the central axis of the polymeric core exceeds that of the polymeric sheathing by at least 0.01. 933
- 10. The material of Claim 7 The article according to claim 9, 935 wherein the sheathing dopant is disobutyl adipate overall concentration of said core dopant in said polymeric core is less than 12 %wt 937.
- 11. The material of Claim 10 wherein the core dopant is benzyl benzoate. 938 11. The article of claim 9, wherein said article has a maximum service temperature of at least 40 degrees C. 939
- 12. The material of Glaim 6 wherein the polymerizable monomer is 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3dioxole.  $^{940}$
- 13. The material of Claim 1 wherein the core dopant is not present.

  12. The article of claim 8, wherein said core dopant has a concentration gradient within said core in the same direction as the gradient in refractive index.

  13. The material of Claim 1 wherein the core dopant is not present.

  14. The article of claim 1 wherein the core dopant is not present.

  15. The material of Claim 1 wherein the core dopant is not present.

  16. The article of claim 8, wherein said core dopant is not present.

  16. The article of claim 8, wherein said core dopant is not present.

  17. The article of claim 8, wherein said core dopant is not present.
- 14. A method for forming a graded index plastic optical 943 material, comprising the steps of:
- (a) providing a transparent tube of sheathing 945
- material comprising a sheathing polymer and a sheathing dopant; and dopant; an
- (b) forming a transparent core, within the sheathing tube produced in step (a), said core having a refractive index greater than that of the sheathing tube by:
- 13. The article of claim 12 wherein, said polymeric core further includes said sheathing dopant having a concentration gradient within the core in a specific direction opposite that of said direction of the concentration gradient of the core dopant. 948

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- (i) filling the sheathing tube with a core-solution comprising a core polymerizable monomer, which upon polymerization, has a refractive index greater than that of the 950
- sheathing tube and with an optional core dopant having a refractive index greater than that of the polymer obtained upon polymerization of the core monomer; and 951
- (ii) polymerizing the core monomer of the core

- solution to form a graded index plastic optical material having an outer sheathing and an inner core.  $^{953}$
- 14. The article of claim 1, wherein the refractive index at the central axis of said polymeric core is greater than the refractive index of said polymeric sheathing, where said article conducts light at at least one wavelength with an attenuation less than 500 dB/km.
- 15. The method of Claim 14 wherein the sheathing tube is made by: The article of claim 14, wherein said article conducts light at at least one wavelength with an attenuation less than 200 dB/km.
- (a) placing into a polymerization container a sheathing solution comprising a sheathing polymerizable monomer and a sheathing dopant, the sheathing dopant having a refractive index lower than that of the polymer obtained by the 957
- polymerization of the sheathing monomer; and 958 (b) causing the sheathing monomer of the sheathing solution to polymerize within the polymerization container in a cylindrical configuration to form a transparent sheathing tube. 959
- 16. The method  $^{960}$  article  $^{961}$  of Claim 15  $^{962}$  claim 1,  $^{963}$  wherein the material is in the  $^{964}$  shape of a  $^{965}$  the article is an essentially  $^{966}$  cylindrical preform  $^{967}$  rod.
- 17. The method of Claim 15 wherein the sheathing and core polymerizable monomers are different.  $^{968}$
- 17. The article of claim 16, wherein said rod is hot-drawn into a fiber that conducts light having a diameter less than said rod at a draw rate of at least 3 m/min.
- 18. The method of Claim 15 wherein the sheathing and core polymerizable monomers are the same  $^{970}$  article of claim 1, wherein the shape of the article is an essentially cylindrical fiber having an outer diameter less than 1 millimeter  $^{971}$ .
- <del>-26</del>972
- 19. The method 973 article 974 of Claim 18 975 claim 1, 976 wherein the 977 said sheathing polymer and said core polymer are formed from different 978 polymerizable monomer is methyl methaerylate 979 monomers 980.
- 20. The method  $\frac{981}{\text{article}}$  of  $\frac{982}{\text{claim } 18}$  of  $\frac{983}{\text{claim } 1}$  wherein  $\frac{985}{\text{said sheathing}}$  polymer and said core polymer are formed from the same  $\frac{986}{\text{claim } 18}$  polymerizable monomer is  $\frac{2}{100}$  of  $\frac{2}{100}$  polymerizable monomer  $\frac{1}{100}$  of  $\frac{1}{100}$  of  $\frac{1}{100}$  polymerizable monomer  $\frac{1}{100}$  of  $\frac{1}{100}$
- $\underline{21.}$  The article of claim 20, wherein the polymerizable monomer is methyl methacrylate.  $\underline{^{988}}$
- 22. The article of claim 1, wherein said sheathing dopant is dimethyl sebatate.
- $\underline{23.}$  The article of claim 1, wherein said sheathing dopant is diisobutyl adipate.  $\underline{^{990}}$

- 24. The article of claim 1, wherein said sheathing dopant is 2,2,4-trimethyl-1,3-pentanediol diisobutyrate. 991
- 25. The article of claim 1, wherein said sheathing dopant is diethyl succinate. 992
- 26. The article of claim 8, wherein said core dopant is benzyl benzoate. 993
- 27. The article of claim 8, wherein said sheathing dopant is dimethyl sebatate and said core dopant is benzyl benzoate.
- 29. The article of claim 8, wherein said sheathing dopant is diisobutyl adipate and said core dopant is benzyl benzoate. 995
- 30. The article of claim 8, wherein said sheathing dopant is 2,2,4-trimethyl-1,3-pentanediol diisobutyrate and said core dopant is benzyl benzoate. 996
- 31. The article of claim 8, wherein said sheathing dopant is diethyl succinate and said core dopant is benzyl benzoate. 997
- 32. A method for forming a gradient index plastic optical article comprising: 998
- (a) forming a tube of polymeric sheathing material that is at least partially transparent to light at least one wavelength from at least one polymerizable sheathing monomer including a sheathing dopant; and
- (b) forming a polymeric core that is at least partially transparent to light at at least one wavelength within the tube formed in step (a), with said core having a gradient in refractive index in a specific direction by:

  (i) filling said tube with a composition including at least one polymerizable core monomer; and 1001
- (ii) polymerizing said core monomer. 1002
- 33. The method of claim 32, wherein said tube of sheathing material is formed  $\underline{\text{by:}}^{1003}$
- (a) supplying a cylindrical polymerization container; 1004
- (b) placing a quantity of a composition including said at least one polymerizable sheathing monomer and said sheathing dopant into said container; and 1005
- (c) polymerizing said sheathing monomer to form a hollow polymeric tube.
- 34. The method of claim 32, wherein said sheathing dopant has a refractive index less than said polymerizable sheathing monomer when polymerized without the sheathing dopant. 1007
- 35. The method of claim 32, wherein the composition in step (b)(i) further includes a core dopant.  $^{1008}$

- 36. The method of claim 35, wherein the core dopant has a refractive index greater than that of the polymerizable core monomer when polymerized without the core dopant.
- 37. The method of claim 32, wherein energy is supplied during step (b)(ii). 1010
- 38. The method of claim 33, wherein energy is supplied during step (c).

. . .

- 39. The method of claim 37, wherein said energy is in the form of heat. 1012
- 40. The method of claim 38, wherein said energy is in the form of heat. 1013
- 41. The method of claim 33, wherein said polymerization container is rotated during step (c).  $^{1014}$
- 42. The method of claim 32, wherein said polymerizable sheathing monomer and said polymerizable core monomer are different.
- 43. The method of claim 32, wherein said polymerizable sheathing monomer and said polymerizable core monomer are the same. 1016
- $\underline{44.}$  The method of claim 43, wherein the polymerizable monomer is methyl methacrylate.  $\underline{^{1017}}$
- 45. The method of claim 32 further comprising the step of hot-drawing the article formed after the completion of step (b) at a predetermined temperature and speed to form a gradient index optical fiber. 1018
- 46. A gradient index plastic optical article having a polymeric sheathing that includes a sheathing dopant.
- 47. A gradient index plastic optical article comprising: 1020

  a polymeric sheathing, which is at least partially transparent to light at at least one wavelength, including a sheathing polymer; and a polymeric core coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, including a core polymer and a specific overall concentration of a core dopant having a refractive index greater than that of the core polymer, said core dopant having a concentration gradient within the core in a specific direction; 1022
- said polymeric sheathing being constructed and arranged so that a difference in refractive indices between the central axis of said polymeric core, having said overall concentration of core dopant, and said polymeric sheathing exceeds a difference in refractive indices between said central axis of said polymeric core, having said overall concentration of core dopant, and said sheathing polymer. 1023
- 48. The article of claim 47, wherein said overall concentration of core dopant is zero. 1024

- $\frac{49. \quad \text{The article of claim 47, wherein said polymeric sheathing includes a}{\text{sheathing dopant having a refractive less than that of said sheathing}}{\text{polymer.}}^{1025}$
- 50. The article of claim 47, wherein the refractive index at the central axis of said polymeric core is greater than the refractive index of said polymeric sheathing, where said article conducts light at at least one wavelength with an attenuation less than 500 dB/km.
- 51. A gradient index plastic optical article comprising:

  a polymeric sheathing, which is at least partially transparent to light at at least one wavelength, including a sheathing polymer; and a polymeric core coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, comprising a core polymer and a core dopant having a refractive index greater than that of the core polymer and present at a first overall concentration sufficient to create a difference in refractive indices between the central axis of the core and the sheathing of a desired value, said core dopant having a concentration gradient within the core in a specific direction;

  1029
- said polymeric sheathing being constructed and arranged so that the maximum service temperature of the article exceeds that of an equivalent article except having a sheathing comprised only of sheathing polymer and having a second overall core dopant concentration required to create a difference in refractive indices between the central axis of the core and the sheathing equal to said desired value.
- 52. The article of claim 51, wherein said overall concentration of core dopant is zero and where said polymeric core has a refractive index gradient within the core in a specific direction. [103]
- 53. The article of claim 51, wherein said polymeric sheathing includes a sheathing dopant having a refractive less than that of said sheathing polymer.  $^{1032}$
- 54. The article of claim 51, wherein the refractive index at the central axis of said polymeric core is greater than the refractive index of said polymeric sheathing, where said article conducts light at at least one wavelength with an attenuation less than 500 dB/km.  $^{1033}$
- 55. A gradient index plastic optical article comprising:

  a polymeric sheathing, which is at least partially transparent to light at at least one wavelength, including a sheathing polymer; and a polymeric core coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, including a core polymer and a core dopant having a refractive index greater than that of the core polymer and present at a first overall concentration sufficient to create a difference in refractive indices between the central axis of the core and the sheathing of a desired value, said core dopant having a concentration gradient within the core in a specific direction;

said polymeric sheathing being constructed and arranged so that said light at at least one wavelength is conducted by the article with less attenuation than by an equivalent article except having a sheathing comprised only of sheathing polymer and having a second overall core dopant concentration

required to create a difference in refractive indices between the central axis of the core and the sheathing equal to said desired value. 1037

- $\underline{56.}$  The article of claim 55, wherein said overall concentration of core dopant is zero.  $\underline{^{1038}}$
- 57. The article of claim 55, wherein said polymeric sheathing includes a sheathing dopant having a refractive less than that of said sheathing polymer. 1039
- 58. The article of claim 55, wherein the refractive index at the central axis of said polymeric core is greater than the refractive index of said polymeric sheathing, where said article conducts light at at least one wavelength with an attenuation less than  $500 \, \mathrm{dB/km.}^{1040}$
- 59. A plastic optical preform article comprising: 1041

  a polymeric sheathing, which is at least partially transparent to

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- light at at least one wavelength and possesses a refractive index of a first value at said at least one wavelength, including a sheathing polymer and a plasticizer; and 1042
- a polymeric core, coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, possesses a refractive index of a second value at the central axis of the core at said at least one wavelength, and includes a core polymer; said second value of refractive index exceeding said first value.
- 60. The article of claim 59, wherein the polymeric core has a refractive index gradient within the core in a specific direction. 1044
- 61. The article of claim 59, wherein said preform can be formed into an essentially cylindrical optical fiber having an outer diameter less than 1 millimeter by extrusion. 1045
- 62. The article of claim 61, wherein said fiber conducts light at at least one wavelength with an attenuation less than 500 dB/km. 1046
- 63. The article of claim 59, wherein said preform can be formed into an essentially cylindrical optical fiber having an outer diameter less than 1 millimeter by hot-drawing. 1047
- 64. The article of claim 63, wherein said fiber conducts light at at least one wavelength with an attenuation less than  $500~\mathrm{dB/km.}^{1048}$
- 65. The article of claim 64, wherein said fiber is hot-drawn from said rod at a drawing speed of at least 3 m/min.  $^{1049}$
- $\underline{66}$ . The article of claim 64, wherein said fiber is hot-drawn from said rod at a drawing speed of at least 5 m/min.
- 21. The method of Claim 15, further comprising the step of: hot-drawing the graded index plastic optical material at a temperature and speed, to thereby obtain a graded index plastic optical fiber. 1051

- 67. The article of claim 59, wherein said plasticizer acts as a sheathing dopant having a refractive index which is less than that of said sheathing polymer.  $^{1052}$
- 22. A graded index plastic optical fiber produced by the method of Claim 21 which is optionally jacketed with a suitable jacketing composition in either a single or duplex configuration.  $^{1053}$
- $\underline{68.}$  The article of claim 59, when said polymeric core further includes a core  $\underline{\text{dopant.}}^{1054}$
- 23. A tube of sheathing material comprising a sheathing loss polymer and a sheathing dopant.

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- 69. The article of claim 59, wherein said sheathing polymer and said core polymer are formed from the same polymerizable monomer. 1057
- 70. The article of claim 69, wherein the polymerizable monomer is a perfluorinated monomer which yields an amorphous perfluorinated polymer upon polymerization. 1058
- 71. The article of claim 59, wherein said sheathing polymer and said core polymer are formed from the different polymerizable monomers. 1059
- 72. The article of claim 71, wherein the polymerizable monomer forming the sheathing polymer is a perfluorinated monomer which yields an amorphous perfluorinated polymer upon polymerization. 1060
- 73. A method for making a gradient index plastic optical fiber comprising: 1061

  forming a polymeric preform rod comprising a polymeric sheathing and a polymeric core coaxially disposed within said sheathing, said polymeric core having a gradient in refractive index in a specific direction; and 1062

  hot-drawing said rod at a draw rate of at least 3 m/min into a fiber that conducts light of at least one wavelength with an attenuation less than 500 dB/km.
- 74. A plastic optical preform article comprising: 1064

  a polymeric sheathing, which is at least partially transparent to light at at least one wavelength, possesses a refractive index of a first value at said at least one wavelength, and includes a sheathing polymer; and a polymeric core, coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, possesses a refractive index of a second value at the central axis of the core at said at least one wavelength, and includes a core polymer and a core dopant having a refractive index greater than that of the core polymer and present at a specified overall concentration;
- said second value of refractive index exceeding said first value by at least 0.01 at said at least one wavelength, with said specified overall core dopant concentration not exceeding 12 %wt.
- 75. A plastic optical article comprising: 1068

a polymeric sheathing, which is at least partially transparent to light at at least one wavelength, possesses a refractive index of a first value at said at least one wavelength, and includes a sheathing polymer; and a polymeric core, coaxially disposed within said sheathing, which is at least partially transparent to light at at least one wavelength, possesses a refractive index of a second value at the central axis of the core at said at least one wavelength, and includes a core polymer and a core dopant having a refractive index greater than that of the core polymer;

said second value of refractive index exceeding said first value by at least 0.01 at said at least one wavelength, and the operating temperature of the article being at least 40 degrees C. 1071

ABSTRACT—OF THE DISCLOSURE 1072

Craded plastic 1073 Polymeric 1074 optical materials 1075 articles 1076, including gradient index optical 1077 preforms and fibers 1078 fiber 1079 produced therefrom, are described. Methods for producing the optical materials 1080 articles 1081 using plasticizers and/or 1082 dopants in the sheathing of the material 1083 articles 1084 are also described. The graded 1085 Gradient 1086 index plastic 1087 optical materials 1088 articles made according to the invention 1089 have excellent optical characteristics, enhanced flexibility 1090 mechanical properties 1091 and—good 1092 environmental stability, and enable more flexibility in the selection of materials. 1093

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Statistics:	**
	Count
Insertions	594
Deletions	501
Moved from	0
Moved to	0
Style change	0
Format changed	0
Total changes	1095